

CP1438 'Clarification of information required for raising a Trading Dispute'



Contents

1	Why Change?	2
2	Solution	3
3	Impacts and Costs	4
4	Implementation Approach	5
5	Initial Committee Views	5
	Appendix 1: Glossary & References	6

About This Document

The purpose of this Change Proposal (CP) Consultation for CP1438 is to invite BSC Parties, Party Agents and other interested parties to provide their views on the impacts and the merits of CP1438. The Trading Disputes Committee (TDC) will then consider the consultation responses before making a decision on whether or not to approve CP1438.

There are four parts to this document:

- This is the main document. It provides details of the solution, impacts, costs and proposed implementation approach. It also summarises the TDC's initial views on the proposed changes.
- Attachment A contains the CP1438 Proposal Form.
- Attachment B contains the proposed redlined changes to deliver the CP1438 solution.
- Attachment C contains the specific questions on which we seek your views. Please use this form to provide your response to these questions, and to record any further views or comments you wish to be considered.

Contact

Talia Addy

020 7380 4043

talia.addy@elexon.co.uk



1 Why Change?

Background

The [Trading Disputes](#) process provides a mechanism for correcting identified Settlement Errors and allows for energy that was incorrectly calculated to be re-calculated, and the corrected Trading Charges distributed accordingly. Balancing and Settlement Code Procedure (BSCP) [11 'Trading Disputes'](#) sets out the procedures that need to be followed when raising and progressing a Trading Dispute.

A 'lessons learned' exercise was conducted following consideration of Trading Dispute DA618. This exercise took into account the views of both [BSC Panel](#) Members and [TDC](#) Members. It identified ambiguities in the documentation underpinning the Trading Disputes process and recommended that BSCP11 be amended to clarify the requirements associated with raising a Trading Dispute. The Panel endorsed the findings of this work at its meeting on 11 September 2014 ([Panel 228](#)).

What is the issue?

When raising a Trading Dispute, BSCP11 Section 5.1.1 requires a Raising Party to "Identify [the] alleged Settlement Error and raise a Trading Dispute" using Form BSCP11/01 and in accordance with BSCP11 Section 1.4. However, the wording of Section 1.4 does not make it clear that the alleged Settlement Error must be identified, and this is only implied in the BSCP11/01 Trading Dispute form. These inconsistencies can impact the efficient investigation into alleged Trading Disputes and therefore need to be addressed.

Proposed solution

[CP1438 'Clarification of information required for raising a Trading Dispute'](#) was raised by ELEXON on 30 March 2015. This CP seeks to remove ambiguity and clarify that all BSCP11/01 Trading Dispute Forms submitted must identify a breach of the BSC or a Code Subsidiary Document (CSD) and indicate the relevant Settlement Error, in order for the form to be accepted by ELEXON. This will be done by aligning Sections 1.4 and 5.1.1 in BSCP11 and updating the BSCP11/01 Trading Dispute form to ensure it is consistent with these sections.

CP Consultation Question

Do you agree with the CP1438 proposed solution?

Please provide your rationale.

We invite you to give your views using the response form in Attachment C

Proposed redlining

The proposed redlined changes to BSCP11 can be found in Attachment B.

CP Consultation Question

Do you agree that the draft redlining delivers the CP1438 proposed solution?

If 'No', please provide your rationale.

We invite you to give your views using the response form in Attachment C

3 Impacts and Costs

Central impacts and costs

The central implementation cost for CP1438 will be approximately £240 (one ELEXON man day) to deliver the proposed document changes. There are no central system or BSC Agent impacts.

Central Impacts	
Document Impacts	System Impacts
<ul style="list-style-type: none">• BSCP11	<ul style="list-style-type: none">• <i>None</i>

BSC Party & Party Agent impacts and costs

There are no implementation impacts on BSC Parties or Party Agents expected. However, participants may need to update internal documentation if it directly references any of the paragraphs being amended in BSCP11.

For the avoidance of doubt, CP1438 will not affect any Trading Disputes that are raised or ongoing prior to the implementation of the Change Proposal (should it be approved).

CP Consultation Questions

Will CP1438 impact your organisation?

If 'Yes', please provide a description of the impact(s) on your organisation and any activities which you will need to undertake between the approval of CP1438 and the CP1438 Implementation Date (including any necessary changes to your systems, documents and processes). Where applicable, please state which of the roles that you operate as will be impacted and any differences in the impacts between each role.

Will your organisation incur any costs in implementing CP1438?

If 'Yes', please provide details of these costs, how they arise and whether they are one-off or on-going costs.

We invite you to give your views using the response form in Attachment C

4 Implementation Approach

Recommended Implementation Date

CP1438 is proposed for implementation on **5 November 2015** as part of the November 2015 BSC Systems Release, as this is the next available BSC Systems Release.

CP Consultation Question

Do you agree with the proposed implementation approach for CP1438?

Please provide your rationale.

We invite you to give your views using the response form in Attachment C

5 Initial Committee Views

TDC's initial views

ELEXON presented CP1438 to the TDC at its meeting on 30 April 2015 ([TDC200/04](#)).

The TDC agreed with the proposed changes to BSCP11 and did not provide any further comments. TDC Members noted that CP1438 will be issued for CP consultation on 5 May 2015 and that the TDC will consider all consultation responses at its meeting on 2 July 2015.

Appendix 1: Glossary & References

Acronyms

Acronyms used in this document are listed in the table below.

Acronyms	
Acronym	Definition
BSCP	Balancing and Settlement Code Procedure (<i>Code Subsidiary Document</i>)
CSD	Code Subsidiary Document
CP	Change Proposal
CPC	Change Proposal Circular
TDC	Trading Disputes Committee (<i>Panel Committee</i>)

External links

A summary of all hyperlinks used in this document are listed in the table below.

All external documents and URL links listed are correct as of the date of this document.

External Links		
Page(s)	Description	URL
2	Trading Disputes information page on the ELEXON website	https://www.elexon.co.uk/reference/technical-operations/trading-settlement/claims-disputes/
2	BSCPs page on the ELEXON website	https://www.elexon.co.uk/bsc-related-documents/related-documents/bscps/
2	BSC Panel page on the ELEXON website	https://www.elexon.co.uk/group/the-panel/
2	CP1438 page on the ELEXON website	https://www.elexon.co.uk/change-proposal/cp1438/
2	BSC Panel 228 meeting page on the ELEXON website	https://www.elexon.co.uk/meeting/bsc-panel-228/
2	TDC page on the ELEXON website	https://www.elexon.co.uk/group/trading-disputes-committee-tdc/
5	TDC200 page on the ELEXON website	https://www.elexon.co.uk/meeting/tdc-200/